

EXPRESS MAIL LABEL NO. EV 382 947 485 US

PATENT APPLICATION  
Docket No. 15436.253.84

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Lewis B. Aronson, et al.

Serial No.: 10/614,112

Filed: July 3, 2003

Conf. No.: 7667

For: OPTICAL TRANSCEIVER MODULE WITH  
POWER INTEGRATED CIRCUIT

Customer No.: 022913

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) Art Unit  
) 2633  
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CERTIFICATE OF EXPRESS MAILING UNDER 37 C.F.R. § 1.10

"Express Mail" Mailing Label No.: EV 382 947 485 US

I hereby certify that the following documents are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 8<sup>th</sup> day of JUNE, 2004:

- Transmittal Letter (2 pgs.)
- Request for Change of Inventor Name under 37 C.F.R. § 1.182 (2 pgs, in duplicate)
- Judicial Order (1 pgs.)
- Application Data Sheet (4 pgs.)
- PTO-2038 Credit Card Payment Form in the amount of \$130.00
- Postcard

Respectfully submitted,

Dacia M. Holt  
Paralegal

WORKMAN NYDEGGER  
1000 Eagle Gate Tower  
60 East South Temple  
Salt Lake City, Utah 84111  
Telephone: (801) 533-9800  
Facsimile: (801) 328-1707  
Customer No. 022913

6-9-04

IFW  
\$DAE



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Filed:	July 3, 2003	) 2633
Conf. No.:	7667	)
For:	OPTICAL TRANSCIEVER MODULE WITH POWER INTEGRATED CIRCUIT	)
Customer No.:	022913	)

REQUEST FOR CHANGE OF INVENTOR NAME  
UNDER 37 C.F.R. § 1.182

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sirs:

Pursuant to 37 C.F.R. § 1.182, Applicants respectfully request that the name of inventor Stephen G. Hosking is changed to Lucy G. Hosking in the above-identified application.

In support of Applicants' request, enclosed herewith is a Judicial Order, Application Data Sheet, and a PTO-2038 Credit Card Payment Form in the amount of \$130.00 for payment of the petition fee under 37 C.F.R. § 1.17(h), to facilitate the name change. The Commissioner is hereby authorized to charge any additional fee or debit any overpayment to Deposit Account 23-3178. Duplicate copies of this sheet are enclosed.

06/14/2004 AWONDAF1 00000037 10614112

01 FC:1460

130.00 OP

In the event of any question regarding this matter, please contact the undersigned.

Dated this 26 day of May, 2004.

Respectfully submitted,



R. BURNS ISRAELSEN  
Registration No. 42,685  
Attorney for Applicant  
Customer No. 022913

RBI:dmh  
DMS0000006328V001

RICK D. NYDEGGER  
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LARRY R. LAYCOCK  
JONATHAN W. RICHARDS  
DAVID R. WRIGHT  
JOHN C. STRINGHAM  
JOHN M. GUYNN  
CHARLES L. ROBERTS  
DANA L. TANGREN  
ERIC L. MASCHOFF  
CHARLES J. VEVERKA  
ROBYN L. PHILLIPS  
RICHARD C. GILMORE \*  
STERLING A. BRENNAN \*  
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DAVID R. TODD  
GREGORY M. TAYLOR  
DAVID B. DELLENBACH  
L. DAVID GRIFFIN  
ADRIAN J. LEE  
FRASER D. ROY  
CARL T. REED

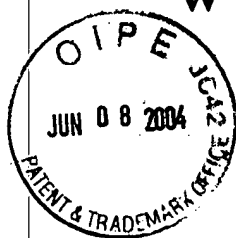


R. PARRISH FREEMAN, JR.  
PETER F. MALEN, JR.  
L. REX SEARS, PH.D.  
WILLIAM R. RICHTER  
ERIC M. KAMERATH  
ROBERT E. AYCOCK  
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KEVIN W. STINGER  
WILLIAM J. ATHAY  
MICHAEL B. DODD  
RYAN D. BENSON  
SARA D. JONES  
TIGE KELLER  
JANNA L. JENSEN  
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MICHAEL J. FRODSHAM  
JOSEPH L. KRUPA  
BRETT A. HANSEN  
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CHAD E. NYDEGGER  
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# WORKMAN | NYDEGGER

INTELLECTUAL PROPERTY ATTORNEYS

1000 EAGLE GATE TOWER  
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TELEPHONE: (801) 533-9800  
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EXPRESS MAIL LABEL NO. EV 382 947 485 US PATENT APPLICATION  
Docket No. 15436.253.84

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of )  
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Lewis B. Aronson, et al. )  
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Serial No.: 10/614,112 ) Art Unit  
) 2633  
Filed: July 3, 2003 )  
)  
Conf. No.: 7667 )  
)  
For: OPTICAL TRANSCIEVER )  
MODULE WITH POWER )  
INTEGRATED CIRCUIT )  
)  
Customer No.: 022913 )

### TRANSMITTAL LETTER

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Transmitted herewith is a Request for Change of Inventor Name under 37 C.F.R § 1.182, Judicial Order, Application Data Sheet and PTO-2038 Credit Card Payment form in the amount of \$130.00 for entry in the above-identified application.

\* Admitted only in California

† Admitted only in New York

Dated this 26 day of May, 2004.

Respectfully submitted,

*R. Burns Israel*

R. BURNS ISRAELSEN  
Attorney for Applicant  
Registration No. 42,685  
Customer No. 022913

RBI:dmh  
DMS0000006329V001

PETITIONER OR ATTORNEY (Name, state bar number, and address): <b>Stephen Gerald Hosking 320 Swift Street, Santa Cruz CA 95060</b>		FOR COURT USE ONLY  <b>FILED</b> JAN - 6 2004  BARBARA J. FOX, CLERK BY <i>[Signature]</i> DEPUTY, SANTA CRUZ COUNTY
TELEPHONE NO.: 831.427.2193	FAX NO. (Optional): 831.471.2638	
E-MAIL ADDRESS (Optional): ATTORNEY FOR (Name):		
SUPERIOR COURT OF CALIFORNIA, COUNTY OF Santa Cruz STREET ADDRESS: Santa Cruz Main Courthouse MAILING ADDRESS: 701 Ocean Street CITY AND ZIP CODE: Santa Cruz CA 95060 BRANCH NAME:		
PETITION OF (Name of petitioner): <b>Stephen Gerald Hosking</b>  FOR CHANGE OF NAME AND GENDER		CASE NUMBER: <b>CV 147818</b>
<b>DECREE CHANGING NAME AND GENDER</b>		

1. The petition came regularly for hearing on (date): **1-5-04** in Courtroom: **9** of the above-entitled court.

#### THE COURT FINDS

2. a. All notices required by law have been given.  
 b. Each person whose name is to be changed identified in item 3 below  
 (1) ☒ is not ☐ is under the jurisdiction of the Department of Corrections, and  
 (2) ☒ is not ☐ is required to register as a sex offender under section 290 of the Penal Code.  
 These determinations were made ☐ by using CLETS/CJIS ☒ based on information provided to the clerk of the court by a local law enforcement agency.  
 c. ☒ No objections to the proposed change of name were made.  
 d. ☐ Objections to the proposed change of name were made by (name):  
  
 e. It appears to the satisfaction of the court that all the allegations in the petition are true and sufficient and that the petition should be granted.  
 f. ☐ Other findings (if any):

#### THE COURT ORDERS

3. The name of (present name): **Stephen Gerald Hosking**  
 is changed to (new name): **Lucy Grace Hosking**

#### THE COURT FURTHER ORDERS

4. The gender of (new name):  
 is changed:  
 a. ☒ from male to female.  
 b. ☐ from female to male.

#### THE COURT FURTHER ORDERS

5. A new birth certificate shall be issued reflecting the changes in name and gender.

The foregoing instrument is a correct  
 copy of the original on file  
 in this office

**MAY 28 2004**

Barbara J. Fox  
 Clerk of the Superior Court of the State of  
 California and for the County of Santa Cruz  
*[Signature]*

Date:

**1-5-04**

*[Signature]*  
 JUDGE OF THE SUPERIOR COURT  
☐ SIGNATURE OF JUDGE FOLLOWS LAST ATTACHMENT  
**ROBERT B. ATACK**

Page 1 of 1



## **Application Data Sheet**

### **Application Information**

**Application Number:** 10/614,112  
**Filing Date:** July 3, 2003  
**Application Type:** Utility  
**Group Art Unit:** 2633  
**CD-ROM or CD-R?:** No  
**Title:** OPTICAL TRANSCEIVER MODULE WITH  
POWER INTEGRATED CIRCUIT  
  
**Attorney Docket Number:** 15436.253.84  
**Request for Early Publication?:** No  
**Request for Non-Publication?:** No  
**Total Drawing Sheets:** 8  
**Small Entity?:** No

### **Applicant Information**

**Applicant Authority Type:** Inventor  
**Primary Citizenship Country:** U.S.  
**Status:** Full Capacity  
**Given Name:** Lewis B.  
**Family Name:** Aronson  
**City of Residence:** Los Altos  
**State or Province of Residence:** California  
**Country of Residence:** U.S.  
**Street of Mailing Address:** 794 Manor Way  
**City of Mailing Address:** Los Altos  
**State of Province of Mailing Address:** California  
**Country of Mailing Address:** U.S.  
**Postal or Zip Code of  
Mailing Address:** 94024

**Applicant Authority Type:** Inventor  
**Primary Citizenship Country:** U.S.  
**Status:** Full Capacity  
**Given Name:** Greta L.  
**Family Name:** Light  
**City of Residence:** San Mateo  
**State or Province of Residence:** California  
**Country of Residence:** U.S.  
**Street of Mailing Address:** 214 42<sup>nd</sup> Avenue  
**City of Mailing Address:** San Mateo  
**State of Province of Mailing Address:** California  
**Country of Mailing Address:** U.S.  
**Postal or Zip Code of Mailing Address:** 94403

**Applicant Authority Type:** Inventor  
**Primary Citizenship Country:** U.S.  
**Status:** Full Capacity  
**Given Name:** Lucy G.  
**Family Name:** Hosking  
**City of Residence:** Santa Cruz  
**State or Province of Residence:** California  
**Country of Residence:** U.S.  
**Street of Mailing Address:** 320 Swift Street  
**City of Mailing Address:** Santa Cruz  
**State of Province of Mailing Address:** California  
**Country of Mailing Address:** U.S.  
**Postal or Zip Code of Mailing Address:** 95060



## Correspondence Information

**Correspondence Customer  
Number:**

022913

**Name:**

ERIC L. MASCHOFF

**Street of mailing address:**

1000 Eagle Gate Tower  
60 East South Temple

**City of mailing address:**

Salt Lake City

**State or Province of  
mailing address:**

Utah

**Country of mailing address:**

U.S.

**Postal or Zip Code of  
mailing address:**

84111

**Phone Number:**

(801) 533-9800

**Fax Number:**

(801) 328-1707

## Representative Information

<b>Representative Customer Number:</b>	<b>022913</b>
--	---------------

## Domestic Priority Information

<b>Application</b>	<b>Continuity Type</b>	<b>Parent Application</b>	<b>Parent Filing Date</b>
This application	Continuation-in-Part	09/777,917	February 5, 2001
This application	Continuation-in-Part	10/266,870	October 8, 2002

## **Assignee Information**

<b>Assignee Name:</b>	Finisar Corporation
<b>Street of mailing address:</b>	1308 Moffett Park Drive
<b>City of mailing address:</b>	Sunnyvale
<b>State or Province of mailing address:</b>	California
<b>Country of mailing address:</b>	U.S.
<b>Postal or Zip Code of mailing address:</b>	94089

DMS0000006330V001